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Attorney Docket No. CNTW-007/00US

Express Mail Label Number: EL 955495345 US
Date of Deposit: July 16, 2003

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By: Sherry Duncan Bitler
Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of GORTHY et al.

Serial No.: 09/942,834

Examiner: [Not Yet Assigned]

Confirmation No.: 9237

Art Unit: 2182

Filed: 08/29/2001

FOR: SYSTEM AND METHOD FOR GENERATING A CONFIGURATION SCHEMA

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

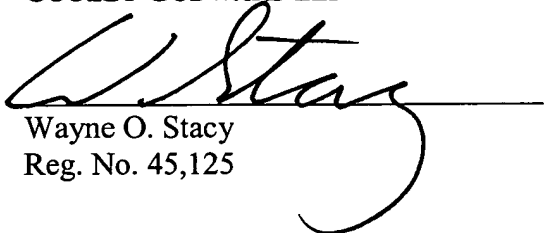
☐ Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

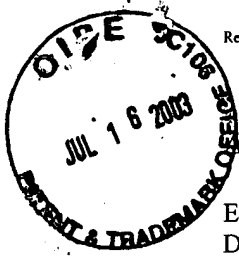
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Respectfully submitted,
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By:


Wayne O. Stacy
Reg. No. 45,125



Attorney Docket No. CNTW-007/00US

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:

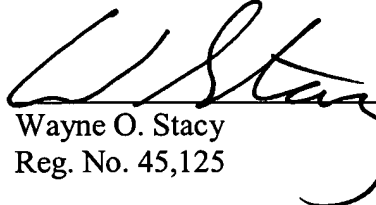
- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

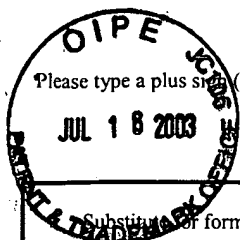
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

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Respectfully submitted,
COOLEY GODWARD LLP

By:


Wayne O. Stacy
Reg. No. 45,125



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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/942,834
Sheet	1	of	2
		Filing Date	08/29/2001
		First Named Inventor	Gorthy et al.
		Group Art Unit	2182
		Examiner Name	[Not Yet Assigned]
		Attorney Docket Number	CNTW-007/00US

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		5,159,685		Kung	10/27/1992
		5,764,955		Doolan	06/09/1998
		5,961,594		Bouvier et al.	10/05/1999
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		6,198,479 B1		Humpleman et al.	03/06/2001
		6,269,398 B1		Leong et al.	07/31/2001
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		6,449,638 B1		Wecker et al.	09/10/2002

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 762 281 A3		IBM	03/31/1999	
		EP	0 810 755 A2		Thompson	12/03/1997	
		EP	1 011 230 A2		Siemens Inf. & Comm. Networks	06/21/2000	
		GB	2 368 692 A		Jacobs Rimell Ltd.	05/08/2002	
		WO	95/08794		Gilbert et al.	03/30/1995	
		WO	95/24802 A		British Telecom; Cox, Simon Andrew (GB); Gittins, Christopher John	09/14/1995	
		WO	98/18235 A		MCI Communications Corp; Caterisano, Terry A.	04/30/1998	
		WO	01/01293 A2		Jacobs Rimell Limited	01/04/2001	
		WO	02/47332 A2		Tindal et al.	06/13/2002	

Examiner Signature	Date Considered
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¹ Unique citation designation number.

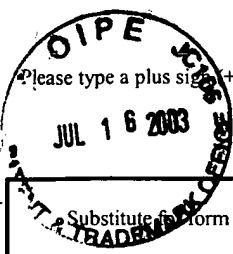
² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.



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PTO/SB/08A (08-00)

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/942,834
		Filing Date	08/29/2001
		First Named Inventor	Gorthy et al.
		Group Art Unit	2182
		Examiner Name	[Not Yet Assigned]
		Attorney Docket Number	CNTW-007/00US
Sheet	2	of	2

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"FFST/2 Generic Alert Router for LAN and SNA Transports," IBM Technical Disclosure Bulletin, IBM Corp. New York, US, vol. 34, no. 11, 1 April 1992, pgs. 467-468, XP000303331, ISSN: 0018-8689	
		Torrente S et al: "Implementation of the ANSI T1M1.5 GBM-T1.214 within an ODBMS framework" Networking: Foundation for the Future, San Francisco, Mar. 28 – April 1, 1993, Proceedings of the Annual Joint Conference of the Computer and Communications Societies (INFOCOM), Los Alamitos, IEE Comp. Soc. Press, US, vol. 2 CONF. 12, 28 March 1993, pgs. 875-884, XP010032227, ISBN: 0-8186-3580-0	
		Kennedy T W: "Network Operations & Management Tool Requirements for the 90s" Proceedings of the Network Operations and Management Symposium (NOMS). Memphis, Apr. 6-9, 1992, New York, IEEE, U.S. Vo. 1, 1992, pgs 122-132	
		Lee, W.C. et al: "Routing Subject to Quality of Service Constraints in Integrated Communication Networks" IEEE Network, IEEE Inc. New York, US, vol. 9, no. 4, 1 July 1995 (1995-07-01), pages 46-55, XP000526591, ISSN: 0890-8044 the whole document	
		Williams R: "Web Based Enterprise Management W101" DMTF 1999 Annual Conference, 'Online! 15-16 June 1999, pgs 1-21	
		Wolfson, Ouri et al.: "Managing Communication Networks by Monitoring Databases", IEEE Transactions on Software Engineering, IEEE Inc. New York, US, vol. 17, no. 9 1 September 1991, pgs. 944-953	
		PCT/US 01/45668 – International Search Report dated 10/16/2002	
		PCT/US 01/45669 – International Search Report dated 10/16/2002	
		PCT/US 01/45671 – International Search Report dated 10/16/2002	
		PCT/US 01/45670 – International Search Report dated 12/20/2002	
		PCT/US 01/45672 – International Search Report dated 04/14/2003	
		PCT/US 01/45671 – Written Opinion dated 12/13/2002	

Examiner Signature	Date Considered
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